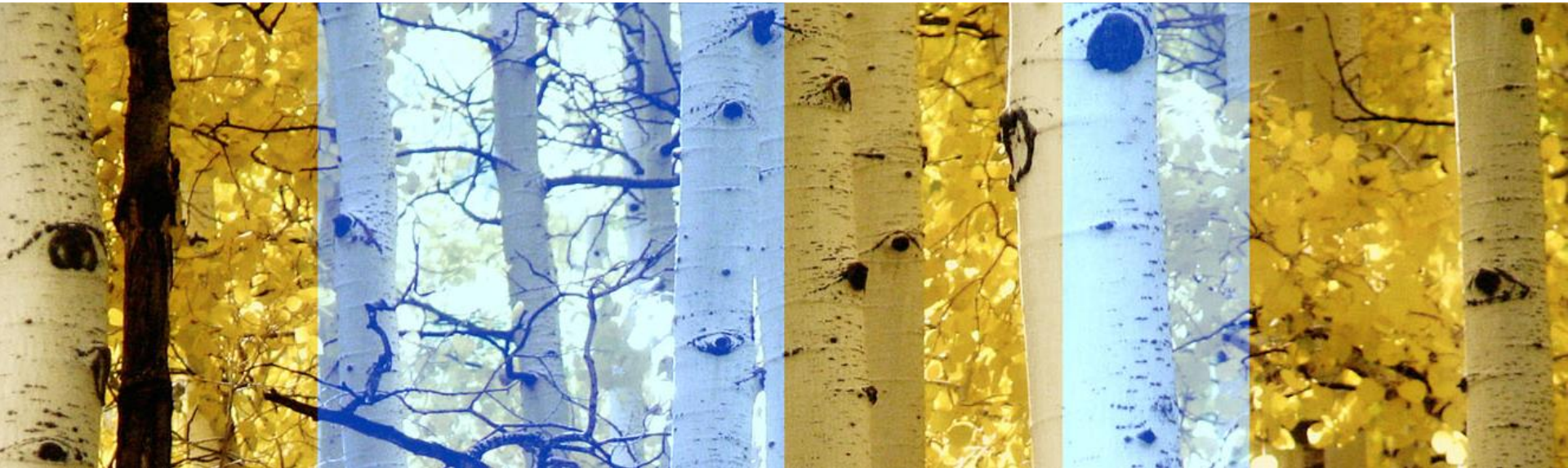


# Second Program Evaluation of the Forest Carbon Partnership Facility

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7580



5 November 2015



**Indufor** ...forest intelligence

# Evaluation Purpose

- **Improve effectiveness and delivery** by learning lessons from REDD+ implementation
- **Improve strategic alignment** with the priorities of REDD+ Country Participants and other stakeholders, and with the emerging global architecture of REDD+



# Evaluation Matrix

- Ten key **evaluation questions** (EQs)
- **Approaches:** lines of enquiry, issues to explore
- **Sources of information** to be used in answering the EQs (i.e. desk studies, on-line survey, interviews, group discussions, field visits), seeking multiple sources of evidence for each finding to build a robust and reliable set of conclusions



# Evaluation Questions (EQs)

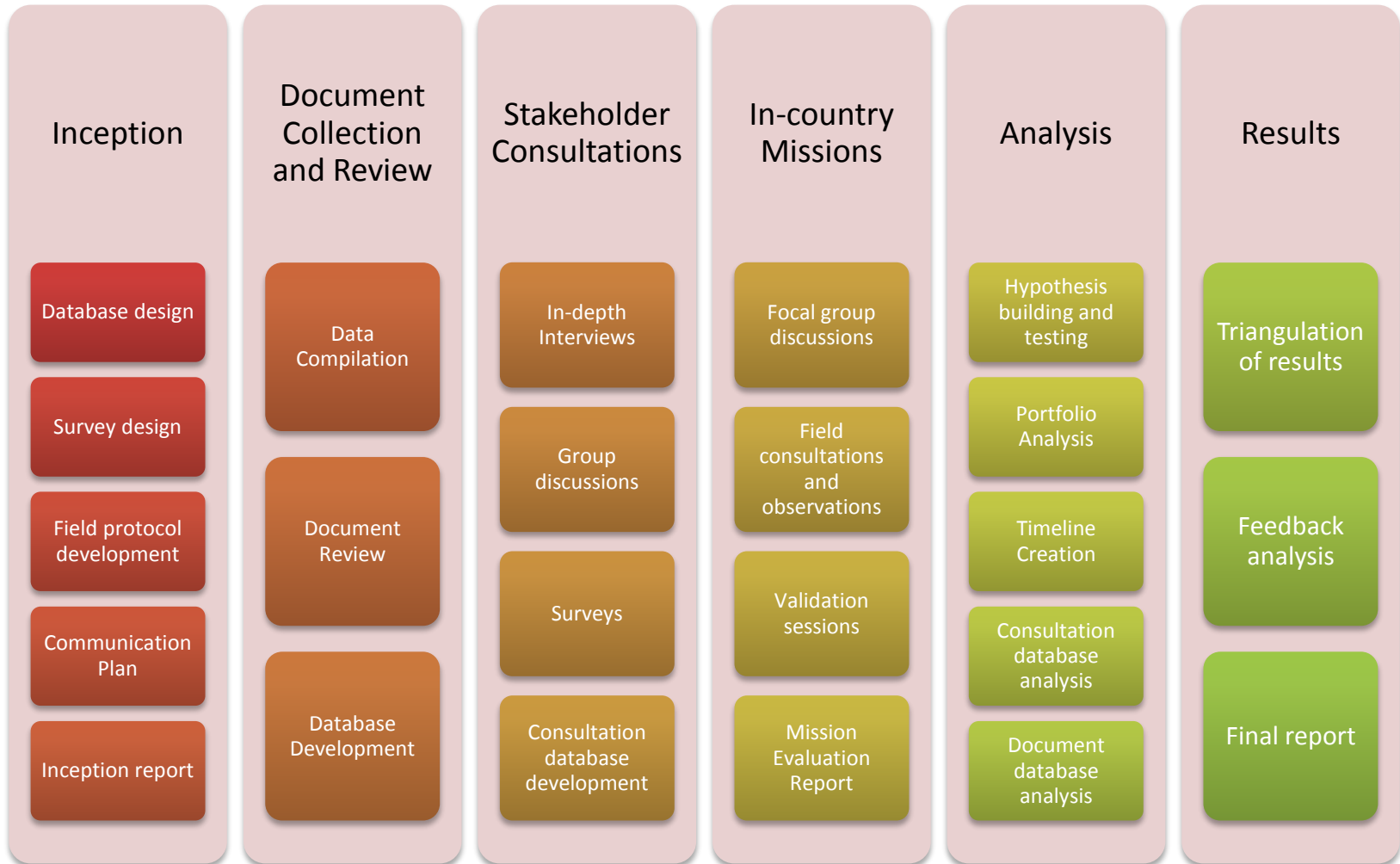
- **Relevance (2 EQs):** why do countries join, why do they stay, and what do they think they gain by it?
- **Effectiveness (6 EQs):** how have REDD+ readiness, stakeholder engagement, multi-sector dialogue and knowledge sharing been supported, and how has this changed with time and context?
- **Impact/sustainability (1 EQ):** major long-term changes?
- **Efficiency (1 EQ):** performance in delivering support?



# Evaluation Process

- **Information:** use of quantitative and qualitative information - on-line survey and desk review (47 countries), remote interviews (17 countries), face-to-face interviews and group discussions (3-6 countries, plus international meetings and institutions)
- **Analysis:** portfolios, hypotheses, timelines, ER-PINs, triangulation to minimize bias, validation of findings
- **Synthesis:** conclusions, recommendations





# The Three-tiered Approach

**Tier 1: 47 countries**

**All REDD+ Country Participants.**

**Tier 2: 17 countries**

**Countries with an ER-PIN and/or a Preparation Grant agreed in 2011-2013, plus one country from each region that has not progressed after its Preparation Grant proposal was reviewed, minus Tier 3 countries.**

**Tier 3: 3-6 countries**

**Countries that are likely to be most informative with regard to Evaluation Questions.**

Online survey sent to FCPF focal points and portfolio analysis



Remote interviews (inc. FCPF focal point & Delivery Partners)



Country visit: face-to-face interviews, focal group discussions and field visits



# Tier 3 country selection

Selection criterion	Implications for country selection
<b>Primary:</b> ER-PIN proxy for prolonged engagement with FCPF (EQs 1, 4, 9).	<b>Included:</b> Chile, Congo Republic, Costa Rica, DRC, Ghana, Guatemala, Indonesia, Lao PDR, México, Nepal, Perú, Vietnam.
<b>Secondary:</b> forest-dependent IPs & caste proxy for exclusion or disadvantage (EQs 2, 4, 7).	<b>Included:</b> Congo Republic, Costa Rica, DRC, Guatemala, Indonesia, Lao PDR, México, Nepal, Perú, Vietnam.
<b>Secondary:</b> LDC proxy for exclusion or disadvantage (EQs 2, 7).	<b>Included:</b> DRC, Lao PDR, Nepal.
<b>Tertiary:</b> size (proxy for complexity and evaluability).	<b>Included:</b> Chile, Ghana, Guatemala, Lao PDR, México, Nepal, Perú, Vietnam.
<b>Tertiary:</b> baseline (1st Evaluation case study).	<b>Included:</b> DRC, México, Nepal.
<b>Special factor:</b> travel warning.	<b>Excluded:</b> DRC, Guatemala.
<b>Special factor:</b> natural forest.	<b>Excluded:</b> Chile, Vietnam.
<b>Net inclusion</b> (one secondary and one tertiary criterion, and no special factor exclusion).	<b>Included:</b> Ghana, Lao PDR, México, Nepal, Perú (plus Madagascar as a complementary megadiversity African LDC and an 'early starter/slow progresser').





# 15-24+ Interviewees per Tier 3 Country

- 2-3+ government people responsible for the FCPF
  - 1-2+ other government people involved in the FCPF
  - 2-3+ technical advisors involved in readiness work
  - 2-3+ people for private-sector viewpoints
  - 2-4+ people for civil society viewpoints
  - 1-2+ people for Indigenous Peoples' viewpoints
  - 3-4+ people for donor agency & partner organization viewpoints
  - 2-3+ other knowledge holders
- 



# Topics for Interviews

- Expectations of the FCPF
  - Alignment with priorities
  - Accomplishments, and usefulness of the FCPF
  - Effects on participation and dialogue
  - Changes or trends noticed over time
  - Impact on forest policy and/or forest use
  - Disappointments of the FCPF
  - Improvements wanted
- 



# Topics for Group Discussions

- Held under the Chatham House Rule
- **Positive experiences and lessons** from participating in the FCPF that should be carried over into the future work of the FCPF
- **Negative experiences and lessons** from participating in the FCPF from which the FCPF should learn and adjust its work



# The on-line Survey

- **Anonymity assured**
- **Neutral questions** on overall opinions, expectations, benefits of participation and issues arising
- **Multiple-choice/rating options**, allowing people to choose from a range of opinions
- **Requests for explanation**, encouraging people to explain their answers



# Database Development

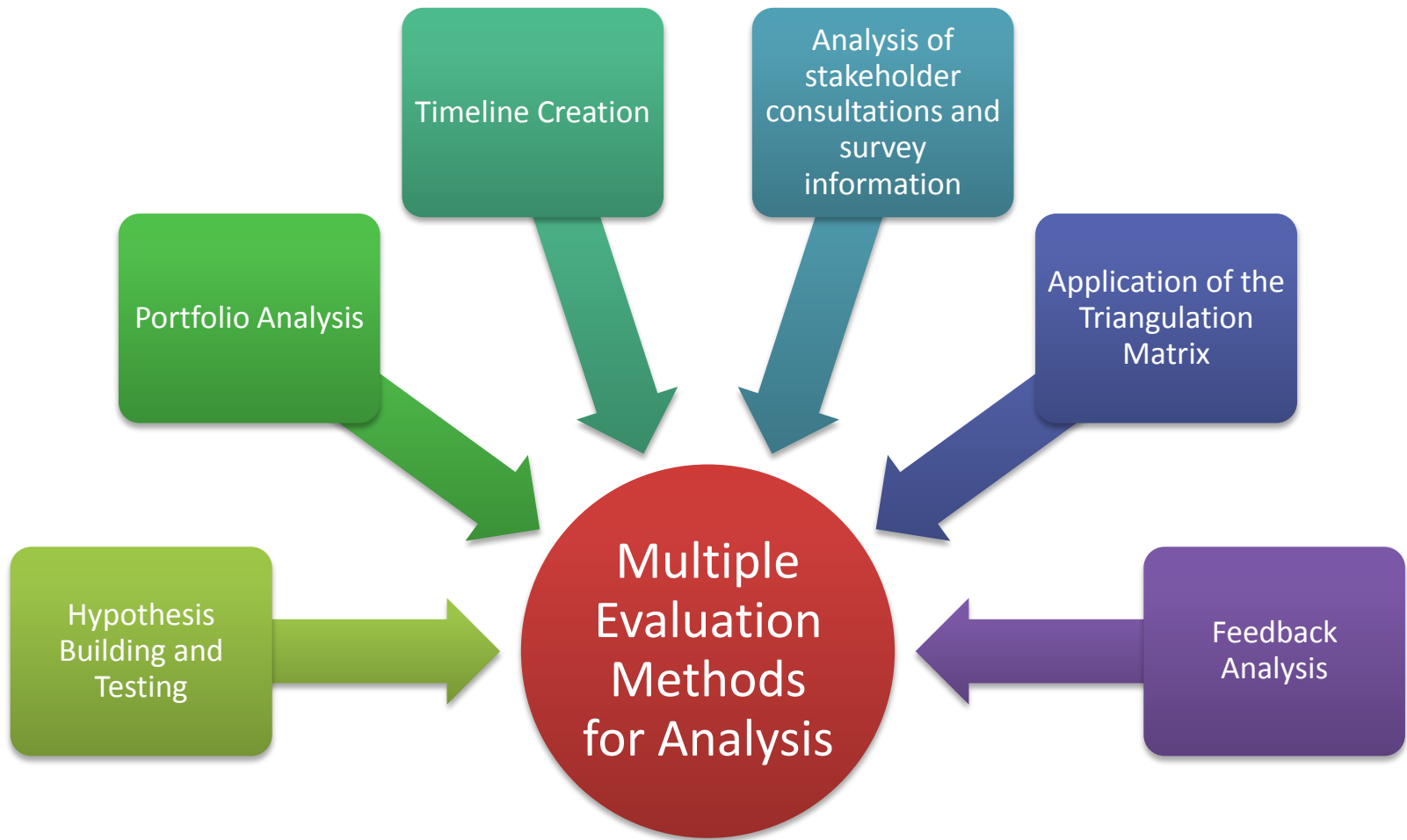
## Stakeholder database

- Manages stakeholder contact details and profiles
- Pivot feature enables easy look up
- Also used to log and track consultations

## Document database

- Tracks all sources used in the evaluation
- Links sources to matrix questions
- Enables efficient triangulation of evidence





# Evaluation Progress

- Important guidance has been received from the Oversight Committee and Reference Group
- The document and stakeholder databases have been established
- Potential informants are being identified
- The on-line survey is almost ready for launch
- The in-depth interviews are underway
- The country visits will start as soon as possible



# Timeline and Outputs

- Information gathering (desk studies, remote surveys and interviews): ongoing → progress reports
  - Tier 3 country visits: Nov 2015 – Feb 2016 → field visit reports
  - Core Team (internal) workshop: Feb 2016 → findings
  - Draft report: Mar/Apr 2016
  - Comments by Oversight Committee and Reference Group: Apr 2016
  - Final report: May 2016
- 





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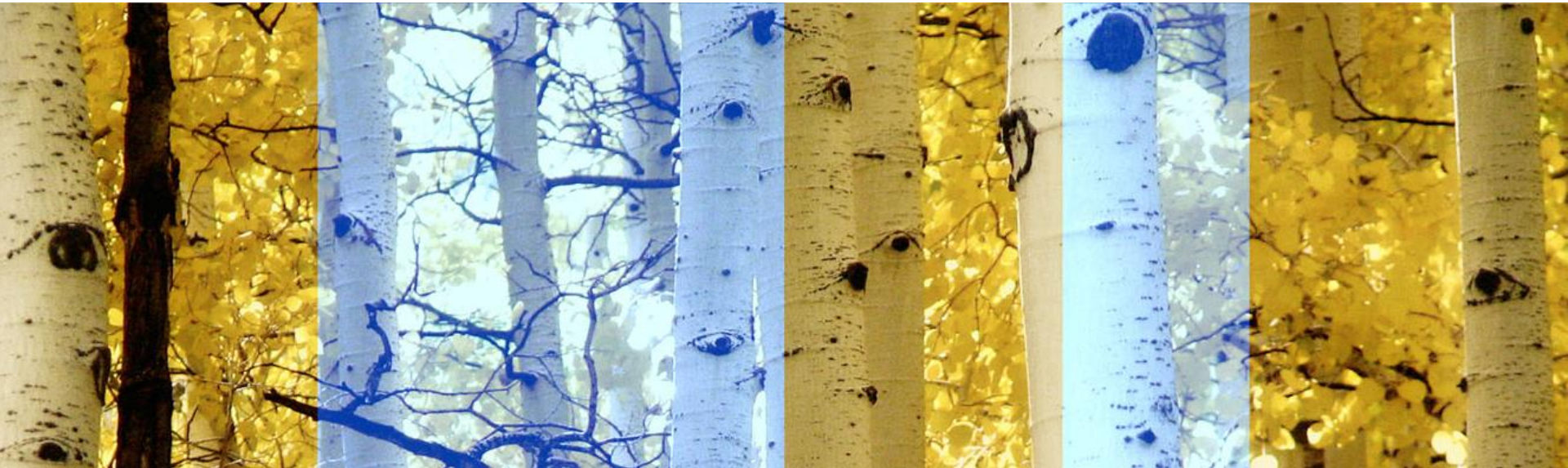
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